

Exhibit A

CUSTOMER CLAIM

Claim Number _____

Date Received _____

BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

Irving H. Picard, Esq.
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: (602) 739-6241

HOME: _____

Account Number: 1-R0123-3 & 1-R0123-4

Taxpayer I.D. Number (Social Security No.)
093-58-0150

BARBARA ROTH
& MARK ROTH J/T WROS
5737 E EVERETT DRIVE
SCOTTSDALE, AZ 85254

(If incorrect, please change)

NOTE:

BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

1. Claim for money balances as of December 11, 2008:

- a. The Broker owes me a Credit (Cr.) Balance of \$ 0.47
- b. I owe the Broker a Debit (Dr.) Balance of \$ 0

502180406

1

- c. If you wish to repay the Debit Balance, please insert the amount you wish to repay and attach a check payable to "Irving H. Picard, Esq., Trustee for Bernard L. Madoff Investment Securities LLC."

If you wish to make a payment, it must be enclosed with this claim form. \$ _____

- d. If balance is zero, insert "None." _____

2. Claim for securities as of December 11, 2008:

PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.

- | | YES | NO |
|---|----------|-------------------|
| a. The Broker owes me securities | <u>X</u> | <u> </u> |
| b. I owe the Broker securities | <u>X</u> | <u> </u> |
| c. If yes to either, please list below: | | |

Date of Transaction (trade date)	Name of Security [See Attached]	Number of Shares or Face Amount of Bonds	
		The Broker Owes Me (Long)	I Owe the Broker (Short)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or

information regarding any withdrawals you have ever made or payments received from the Debtor.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.

NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.		x
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?		x
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?		x
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)		x
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.		x
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.		x

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. _____ X

Please list the full name and address of anyone assisting you in the preparation of this claim form: _____

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.

THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.

Date 2/23/09 Signature Barbara D
Date 2/23/09 Signature Maria

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly,
together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,
Trustee for Bernard L. Madoff Investment Securities LLC
Claims Processing Center
2100 McKinney Ave., Suite 800
Dallas, TX 75201

Addendum to Customer Claim
Bernard L. Madoff Investment Securities LLC
In Liquidation
08-01789-BRL

By Barbara Roth & Mark Roth J/T WROS (“Claimant”)
Account No. 1-R0123-3 & 1-R0123-4

This statement is submitted in support of the attached customer claim (the “Customer Claim”) by Claimant being filed in the liquidation case of Bernard L. Madoff Investment Securities LLC (“BMIS”). On December 15, 2008, the United States District Court for the Southern District of New York entered an order commencing the liquidation of BMIS pursuant to the provisions of the Securities Investor Protection Act.

Claimant hereby reserves the right to amend, modify or update the Customer Claim at any time with respect to any liability or indebtedness of BMIS or any other entity. Claimant does not waive any right or rights of action that Claimant has or may have against BMIS or any other persons or entities.

Customer Claim 2(c)

Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
11/12/08	AT&T INC	4,757	
11/12/08	ABBOTT LABORATORIES	1,273	
11/12/08	AMGEN INC	871	
11/12/08	APPLE INC	737	
11/12/08	BANK OF AMERICA	4,020	
11/12/08	CHEVRON CORP	1,675	
11/12/08	CISCO SYSTEMS INC	4,891	
11/12/08	CITI GROUP INC	4,355	
11/12/08	COCA COLA CO	1,608	
11/12/08	COMCAST CORP CL A	2,412	
11/12/08	CONOCOPHILIPS	1,206	
11/12/08	EXXON MOBIL CORP	4,221	
11/12/08	GENERAL ELECTRIC CO	8,509	
11/12/08	GOOGLE	201	
11/12/08	HEWLETT PACKARD CO	2,010	
11/12/08	INTEL CORP	4,623	
11/12/08	INTERNATIONAL BUSINESS MACH	1,139	
11/12/08	J.P. MORGAN CHASE & CO	3,015	
11/12/08	JOHNSON & JOHNSON	2,211	
11/12/08	MCDONALDS CORP	938	
11/12/08	MERCK & CO	1,742	
11/12/08	MICROSOFT CORP	6,365	
11/12/08	ORACLE CORPORATION	3,216	
11/12/08	PEPSICO INC	1,273	
11/12/08	PFIZER INC	5,427	
11/12/08	PHILLIP MORRIS INTERNATIONAL	1,675	
11/12/08	PROCTOR & GAMBLE CO	2,412	
11/12/08	QUALCOMM INC	1,340	
11/12/08	SCHLUMBERGER LTD	1,005	
11/12/08	US BANCORP	1,407	
11/12/08	UNITED PARCEL SVC INC CLASS B	804	
11/12/08	UNITED TECHNOLOGIES CORP	804	
11/12/08	VERIZON COMMUNICATIONS	2,278	
11/12/08	WAL-MART STORES INC	1,742	
11/12/08	WELLS FARGO & CO NEW	2,814	
11/19/08	US TREASURY BILL DUE 03/26/2009	225,000	
11/19/08	FIDELITY SPARTAN US TREASURY MM	17,340	
11/19/08	S&P 100 INDEX DECEMBER 430 CALL		67
11/19/08	S&P 100 INDEX DECEMBER 420 PUT	67	



BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York ☐ London

08-01789-cgm Doc 29771 Filed 09/13/10 Entered 09/13/10 17:55:41 Exhibit A
885 Third Avenue
New York, NY 10022
(212) 230-2424
800 334-1343
Fax (212) 838-4061

Madoff Securities International Limited
12 Berkeley Street
Mayfair, London W1J 8DT
Tel 020 7493 6222

BARBARA ROTH
& MARK ROTH J/T WROS

5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

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11/30/03

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YOUR ACCOUNT NUMBER
1-R0123-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER
*****0150

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				BALANCE FORWARD		199,340.53	
11/12	2,814		209	WELLS FARGO & CO NEW	29.800	83,969.20	
11/12	2,010		711	HEWLETT PACKARD CO	34.900	70,229.00	
11/12	1,742		4535	WAL-MART STORES INC	55.830	97,324.86	
11/12	1,139		5037	INTERNATIONAL BUSINESS MACHS	87.270	99,445.53	
11/12	4,221		8861	EXXON MOBIL CORP	72.880	307,794.48	
11/12	4,523		9363	INTEL CORP	14.510	67,253.73	
11/12	2,211		13689	JOHNSON & JOHNSON	59.580	131,819.38	
11/12	3,015		18014	J.P. MORGAN CHASE & CO	38.530	115,287.95	
11/12	1,608		22340	COCA COLA CO	44.660	71,877.28	
11/12	938		26666	MCDONALDS CORP	55.370	51,974.06	
11/12	1,742		30992	MERCK & CO	28.550	49,803.10	
11/12	6,365		35318	MICROSOFT CORP	21.810	139,074.65	
11/12	3,216		39644	ORACLE CORPORATION	17.300	55,764.80	
11/12	1,273		52822	PEPSICO INC	56.410	71,859.93	
11/12	737		53124	APPLE INC	100.780	74,303.86	
11/12	5,427		56948	PFIZER INC	16.940	92,150.38	
11/12	1,273		57450	ABBOTT LABORATORIES	54.610	69,568.53	
11/12	2,412		61274	PROCTER & GAMBLE CO	54.080	154,656.96	
11/12	871		61776	AMGEN INC	59.160	51,562.36	
11/12	1,675		65600	PHILLIP MORRIS INTERNATIONAL	43.600	73,097.00	
11/12	4,020		66102	BANK OF AMERICA	21.590	86,951.80	
11/12	1,340		69926	QUALCOMM INC	33.770	45,304.80	
11/12	4,355		70428	CITI GROUP INC	12.510	54,655.05	
				CONTINUED ON PAGE 2			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES



BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York ☐ London

08-01789-cgm Doc 297881 Third Avenue
New York, NY 10029 of 57
(212) 230-2424
800 334-1343
Fax (212) 838-4061

Entered 09/13/10 17:55:41 Exhibit A Securities International Limited
12 Berkeley Street
Mayfair, London W1J 8DT
Tel 020 7493 6222

BARBARA ROTH
& MARK ROTH J/T WROS

5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

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11/30/08

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YOUR ACCOUNT NUMBER
1-R0123-3-0

YOUR TAX PAYER IDENTIFICATION NUMBER
*****0150

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12	1,005		74252	SCHLUMBERGER LTD	49.480	49,767.40	
11/12	2,412		74754	COMCAST CORP	16.510	39,918.12	
				EL A			
11/12	4,757		78578	AT&T INC	27	128,629.00	
11/12	1,206		79080	CONOCOPHILIPS	52.510	63,375.06	
11/12	804		82904	UNITED PARCEL SVC INC.	52.040	41,872.16	
				CLASS B			
11/12	4,891		83406	CISCO SYSTEMS INC	16.730	82,021.43	
11/12	1,407		87230	U S BANCORP	29.530	41,604.71	
11/12	1,675		87732	CHEVRON CORP	73.430	123,062.25	
11/12	804		91556	UNITED TECHNOLOGIES CORP	53.160	42,772.64	
11/12	8,509		92058	GENERAL ELECTRIC CO	19.630	167,371.67	
11/12	2,278		95882	VERIZON COMMUNICATIONS	30.410	69,364.98	
11/12	201		96384	GOOGLE	337.400	67,825.40	
11/12		3,075,000	22819	U S TREASURY BILL DUE 2/12/2009	99.936		3,073,032.00
				2/12/2009			
11/12				FIDELITY SPARTAN	DIV		4.92
				U S TREASURY MONEY MARKET			
11/12		16,257	17919	DIV 11/12/08			
				FIDELITY SPARTAN	1		16,257.00
11/12	41,436		27288	U S TREASURY MONEY MARKET			
				FIDELITY SPARTAN	1	41,436.00	
11/19				U S TREASURY MONEY MARKET			
				FIDELITY SPARTAN			
				U S TREASURY MONEY MARKET	DIV		5.09
				DIV 11/19/08			

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INVESTMENT SECURITIES LLC
New York ☐ London

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885 Third Avenue
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(212) 230-2424
800 334-1343
Fax (212) 838-4061

Exhibit A
Maddox Securities International Limited
12 Berkeley Street
Mayfair, London W1J 8DT
Tel 020 7493 6222

BARBARA ROTH
& MARK ROTH J/T WROS

5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		41,436	52756	FIDELITY SPARTAN	1		41,436.00
11/19	225,000		57297	U S TREASURY MONEY MARKET			
				U S TREASURY BILL	99.926	224,833.50	
				DUE 03/26/2009			
11/19	17,340		61794	3/26/2009 FIDELITY SPARTAN	1	17,340.00	
				U S TREASURY MONEY MARKET			
				NEW BALANCE		385,538.53	
	4,757			SECURITY POSITIONS	MKT PRICE		
	1,273			AT&T INC	28.560		
	371			ABBOTT LABORATORIES	52.390		
	737			AMGEN INC	55.540		
	4,020			APPLE INC	92.670		
	1,675			BANK OF AMERICA	16.250		
	4,891			CHEVRON CORP	79.010		
	4,355			CISCO SYSTEMS INC	16.540		
	1,608			CITI GROUP INC	8.290		
	2,412			COCA COLA CO	46.870		
				COMCAST CORP	17.340		
	1,206			CL A			
	4,221			CONOCOPHILIPS	52.520		
	8,509			EXXON MOBIL CORP	80.150		
				GENERAL ELECTRIC CO	17.170		
				CONTINUED ON PAGE 4			

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INVESTMENT SECURITIES LLC
New York ☐ London

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DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	201			GOOGLE	292.960		
	2,010			HEWLETT PACKARD CO	35.280		
	4,623			INTEL CORP	13.800		
	1,139			INTERNATIONAL BUSINESS MACHS	81.500		
	3,015			J.P. MORGAN CHASE & CO	31.660		
	2,211			JOHNSON & JOHNSON	58.580		
	938			MCDONALDS CORP	58.750		
	1,742			MERCK & CO	26.720		
	6,365			MICROSOFT CORP	20.220		
	3,216			ORACLE CORPORATION	16.090		
	1,273			PEPSICO INC	56.700		
	5,427			PFIZER INC	16.430		
	1,675			PHILLIP MORRIS INTERNATIONAL	42.160		
	2,412			PROCTER & GAMBLE CO	64.350		
	1,340			QUALCOMM INC	33.570		
	1,005			SCHLUMBERGER LTD	50.740		
	17,340			FIDELITY SPARTAN	1		
				U S TREASURY MONEY MARKET			
	1,407			U S BANCORP	26.980		
	804			UNITED PARCEL SVC INC	57.600		
				CLASS B			
	225,000			U S TREASURY BILL	99.971		
				DUE 03/26/2009			
				3/26/2009			
	804			UNITED TECHNOLOGIES CORP	48.530		
				CONTINUED ON PAGE 5			

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BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York ☐ London

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885 Third Avenue
New York, NY 10022
(212) 230-2424
800 334-1343
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12 Berkeley Street
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Tel 020 7493 6222

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E MARK ROTH J/T WROS

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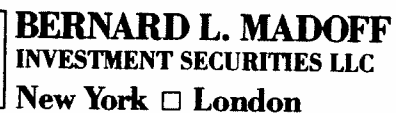
PAGE
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Affiliated with
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AZ 85254

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11/30/03

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1-20123-4-0

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*****0150

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				BALANCE FORWARD			199,341.00
11/12		67	43970	S & P 100 INDEX	15.800		105,793.00
11/12	67		48296	NOVEMBER 450 CALL			
				S & P 100 INDEX	17.800	119,327.00	
11/19		67	34400	NOVEMBER 450 PUT			
				S & P 100 INDEX	26		174,133.00
11/19	67		38725	DECEMBER 430 CALL			
				S & P 100 INDEX	30	201,067.00	
11/19	67		43050	DECEMBER 420 PUT			
				S & P 100 INDEX	3	20,167.00	
11/19		67	47375	NOVEMBER 460 CALL			
				S & P 100 INDEX	37		247,833.00
				NOVEMBER 450 PUT			
				NEW BALANCE			386,539.00
		67		SECURITY POSITIONS	MKT PRICE		
				S & P 100 INDEX	23.300		
	67			DECEMBER 430 CALL			
				S & P 100 INDEX	16.500		
				DECEMBER 420 PUT			
				MARKET VALUE OF SECURITIES			
				LONG			
				SHORT			
				110,550.00			156,110.00-

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES



BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York ☐ London

MEMBER:
FINRA NSX SIPC NSCC DTC

885 Third Avenue
New York, NY 10022
212 230-2424
800 334-1343
Fax 212 838-4061

ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE
0646		1-R0123-3	D	00209	TR	CAP	SETT	11/06/08	11/12/08
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS		

BARBARA ROTH
& MARK ROTH J/T WROS
5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	2,814	949746101	WELLS FARGO & CO NEW	83969.20

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
29.800	83857.20	112.00				

Affiliated with:
Madoff Securities International Limited
12 Berkeley Street, Mayfair, London W1J 8DT. Tel 020-7493 6222
Member of The London Stock Exchange

17
CONFIRMATION (Please see reverse for further details.)



BERNARD L. MADOFF
INVESTMENT SECURITIES LLC
New York ☐ London

MEMBER:
FINRA NSX SIPC NSCC DTC

885 Third Avenue
New York, NY 10022
212 230-2424
800 334-1343
Fax 212 838-4061

ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-R0123-3	D	00711	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

BARBARA ROTH
& MARK ROTH J/T WROS
5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	2,010	428236103	HEWLETT PACKARD CO	70229.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
34.900	70149.00	80.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-R0123-3	D	04535	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

BARBARA ROTH
& MARK ROTH J/T WROS
5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	1,742	931142103	WAL-MART STORES INC	97324.86

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
55.830	97255.86	69.00				

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					TR	CAP	SETT			
0646		1-R0123-3	D	05037	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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& MARK ROTH J/T WROS
5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	1,139	459200101	INTERNATIONAL BUSINESS MACHS	99445.53

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
87.270	99400.53	45.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE
0646		1-R0123-3	D	08861	TR	CAP	SETT	11/06/08	11/12/08
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS		

BARBARA ROTH
& MARK ROTH J/T WROS
5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	4,221	302316102	EXXON MOBIL CORP	307794.48

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
72.880	307626.48	168.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646		1-R0123-3	D	09363	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY			C.H. NUMBER	SPECIAL DELIVERY INSTRUCTIONS					
	BARBARA ROTH & MARK ROTH J/T WROS 5737 E EVERETT DRIVE SCOTTSDALE AZ 85254									

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	4,623	458140100	INTEL CORP	67263.73

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
14.510	67079.73	184.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646		1-R0123-3	D	13689	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			
	BARBARA ROTH & MARK ROTH J/T WROS 5737 E EVERETT DRIVE SCOTTSDALE AZ 85254									

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	2,211	478160104	JOHNSON & JOHNSON				131819.38

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
59.580	131731.38	88.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646		1-R0123-3	D	18014	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY			C.H. NUMBER	SPECIAL DELIVERY INSTRUCTIONS					
	BARBARA ROTH & MARK ROTH J/T WROS 5737 E EVERETT DRIVE SCOTTSDALE AZ 85254									

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	3,015	46625H100	J.P. MORGAN CHASE & CO	116287.95

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
38.530	116167.95	120.00				

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					TR	CAP	SETT			
0646		1-R0123-3	D	22340	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	1,608	191216100	COCA COLA CO	71877.28

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
44.660	71813.28	64.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646		1-R0123-3	D	26666	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER	SPECIAL DELIVERY INSTRUCTIONS				

BARBARA ROTH
& MARK ROTH J/T WROS
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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	938	580135101	MCDONALDS CORP				51974.06

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
55.370	51937.06	37.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE
0646		1-R0123-3	D	30992	TR	CAP	SETT	11/06/08	11/12/08
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS		

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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	1,742	589331107	MERCK & CO	49803.10

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
28.550	49734.10	69.00				

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					TR	CAP	SETT			
0646		1-R0123-3	D	35318	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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& MARK ROTH J/T WROS
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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	6,365	594918104	MICROSOFT CORP	139074.65

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
21.810	138820.65	254.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646		1-R0123-3	D	39644	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	3,216	68389X105	ORACLE CORPORATION	55764.80

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
17.300	55636.80	128.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646		1-R0123-3	D	52622	TR	CAP	SETT	11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	1,273	713448108	PEPSICO INC	71859.93

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
56.410	71809.93	50.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646		1-R0123-3	D	53124	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			
	BARBARA ROTH & MARK ROTH J/T WROS 5737 E EVERETT DRIVE SCOTTSDALE AZ 85254									

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	737	037833100	APPLE INC				74303.86

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
100.780	74274.86	29.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE
0646		1-R0123-3	D	56948	5	1		11/06/08	11/12/08
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS		

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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	5,427	717081103	PFIZER INC	92150.38

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
16.940	91933.38	217.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646		1-R0123-3	D	57450	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			
	BARBARA ROTH & MARK ROTH J/T WROS 5737 E EVERETT DRIVE SCOTTSDALE AZ 85254									

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
SLD	1,273	002824100	ABBOTT LABORATORIES				69568.53

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
54.610	69518.53	50.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE
0646		1-R0123-3	D	61274	TR	CAP	SETT	11/06/08	11/12/08
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS		

BARBARA ROTH
& MARK ROTH J/T WROS
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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	2,412	742718109	PROCTER & GAMBLE CO	154656.96

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
64.080	154560.96	96.00				

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					TR	CAP	SETT			
0646		1-R0123-3	D	61776	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	871	031162100	AMGEN INC	51562.36

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
59.160	51528.36	34.00				

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					TR	CAP	SETT			
0646		1-R0123-3	D	65600	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	1,675	718172109	PHILLIP MORRIS INTERNATIONAL	73097.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
43.600	73030.00	67.00				

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					TR	CAP	SETT			
0646		1-R0123-3	D	66102	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	4,020	060505104	BANK OF AMERICA	86951.80

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
21.590	86791.80	160.00				

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0646		1-R0123-3	D	69926	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	1,340	747525103	QUALCOMM INC	45304.80

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
33.770	45251.80	53.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-R0123-3	D	70428	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			
		BARBARA ROTH & MARK ROTH J/T WROS 5737 E EVERETT DRIVE SCOTTSDALE AZ 85254								

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	4,355	172967101	CITI GROUP INC	54655.05

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
12.510	54481.05	174.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646			1-R0123-3	D	74252	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

BARBARA ROTH
& MARK ROTH J/T WROS
5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	1,005	806857108	SCHLUMBERGER LTD	49767.40

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
49.480	49727.40	40.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646		1-R0123-3	D	74754	TR	CAP	SETT	11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

BARBARA ROTH
& MARK ROTH J/T WROS
5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	2,412	20030N101	COMCAST CORP CL A	39918.12

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
16.510	39822.12	96.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE
0646		1-R0123-3	D	78578	TR	CAP	SETT	11/06/08	11/12/08
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS		

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& MARK ROTH J/T WROS
5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	4.757	00206R102	AT&T INC	128629.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
27.000	128439.00	190.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-R0123-3	D	79080	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	1,206	20825C104	CONOCOPHILIPS	63375.06

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
52.510	63327.06	48.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-R0123-3	D	82904	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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& MARK ROTH J/T WROS
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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	804	911312106	UNITED PARCEL SVC INC CLASS B	41872.16

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
52.040	41840.16	32.00				

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					TR	CAP	SETT			
0646		1-R0123-3	D	83406	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	4,891	17275R102	CISCO SYSTEMS INC	82021.43

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
16.730	81826.43	195.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-R0123-3	D	87230	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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& MARK ROTH J/T WROS
5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	1,407	902973304	U S BANCORP	41604.71

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
29.530	41548.71	56.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE	
0646		1-R0123-3	D	87732	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS				
	BARBARA ROTH & MARK ROTH J/T WROS 5737 E EVERETT DRIVE SCOTTSDALE AZ 85254									

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLE	1.675	166764100	CHEVRON CORP	123062.25

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
73.430	122995.25	67.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-R0123-3	D	91556	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			
		BARBARA ROTH & MARK ROTH J/T WROS 5737 E EVERETT DRIVE SCOTTSDALE AZ 85254								

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	804	913017109	UNITED TECHNOLOGIES CORP	42772.64

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
53.160	42740.64	32.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646		1-R0123-3	D	92058	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			
	BARBARA ROTH & MARK ROTH J/T WROS 5737 E EVERETT DRIVE SCOTTSDALE AZ 85254									

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	8,509	369604103	GENERAL ELECTRIC CO	167371.67

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
19.630	167031.67	340.00				

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ORIGINATOR NO.		DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646			1-R0123-3	D	95882	5	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	2,278	92343V104	VERIZON COMMUNICATIONS	69364.98

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
30.410	69273.98	91.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE
0646		1-R0123-3	D	96384	5	1		11/06/08	11/12/08
IDENTIFICATION NO.	CONTRA PARTY			C.H. NUMBER	SPECIAL DELIVERY INSTRUCTIONS				

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WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	201	38259P508	GOOGLE	67825.40

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
337.400	67817.40	8.00				

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					TR	CAP	SETT			
0646		1-R0123-3	R	22819	5	1		11/10/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION		NET AMOUNT
BOT	3,075,000	912795K34	U S TREASURY BILL DUE 2/12/2009		3073032.00
			2/12/2009		

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
99.936	3073032.00					

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE
0646		1-R0123-3	D	57297	TR	CAP	SETT	11/19/08	11/19/08
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS		

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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	225,000	912795K91	U S TREASURY BILL DUE 03/26/2009 Y.T.M. .21% 3/26/2009	224833.50

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
99.926	224833.50					

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-R0123-4	R	43970	8	1		11/06/08	11/12/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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& MARK ROTH J/T WROS
5737 E EVERETT DRIVE
SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
BOT	67	783790KLF	S & P 100 INDEX NOVEMBER 460 CALL	105793.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC
15.800	105860.00	67.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	TR	CAP	SETT	TRADE DATE	SETTLEMENT DATE
0646		1-R0123-4	D	48296	8	1		11/06/08	11/12/08
IDENTIFICATION NO.	CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	67	783790WJ1	S & P 100 INDEX NOVEMBER 450 PUT	119327.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
17.800	119260.00	67.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
					TR	CAP	SETT			
0646		1-R0123-4	R	34400	8	1		11/14/08	11/19/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
BOT	67	783790LFF	S & P 100 INDEX DECEMBER 430 CALL	174133.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
26.000	174200.00	67.00				

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ORIGINATOR NO.	DELIVERED VIA	ACCOUNT NUMBER	D/R	TRANS. NO.	CODES			TRADE DATE	SETTLEMENT DATE	
0646		1-R0123-4	D	38725	TR	CAP	SETT	11/14/08	11/19/08	
IDENTIFICATION NO.	CONTRA PARTY				C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	67	783790XD4	S & P 100 INDEX DECEMBER 420 PUT	201067.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
30.000	201000.00	67.00				

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					TR	CAP	SETT			
0646		1-R0123-4	D	43050	8	1		11/14/08	11/19/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER		SPECIAL DELIVERY INSTRUCTIONS			

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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION	NET AMOUNT
SLD	67	783790KLF	S & P 100 INDEX NOVEMBER 460 CALL	20167.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
3.000	20100.00	67.00				

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0646		1-R0123-4	R	47375	TR	CAP	SETT	11/14/08	11/19/08	
IDENTIFICATION NO.		CONTRA PARTY			C.H. NUMBER			SPECIAL DELIVERY INSTRUCTIONS		

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SCOTTSDALE AZ 85254

WE	QUANTITY	CUSIP NUMBER	SECURITY DESCRIPTION				NET AMOUNT
BOT	67	783790WJ1	S & P 100 INDEX NOVEMBER 450 PUT				247833.00

PRICE	PRINCIPAL	COMMISSION	STATE TAX	INTEREST	FEE	MISC.
37.000	247900.00	67.00				

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